CMS Center for Consumer Information & Insurance Oversight (CCIIO), Health Insurance Marketplace Public Use Files (PUF) Data Dictionary for Plan ID Crosswalk PUF

1. Overview of the Plan ID Crosswalk PUF

The Centers for Medicare & Medicaid Services (CMS) Center for Consumer Information & Insurance Oversight (CCIIO) is releasing Health Insurance Marketplace Public Use Files (Marketplace PUF) in order to improve transparency and increase access to the Marketplace data. The Marketplace PUF includes data on Qualified Health Plans (QHPs) and Stand-alone Dental Plans (SADPs) offered in states with Federally-facilitated Marketplaces (FFM), which include states with State Partnership Marketplaces (SPM), Federally-facilitated Small Business Health Options Programs (FF-SHOP) and State-based Marketplaces (SBM) that rely on the federal information technology platform for QHP eligibility and enrollment functionality. The Marketplace PUF also includes data on Multi State Plans (MSPs). The Marketplace PUF does not include data from SBMs that do not rely on the federal platform for QHP eligibility and enrollment functionality.

The Plan ID Crosswalk PUF (CW-PUF) is one of the seven files that make up the Marketplace PUF. The purpose of the CW-PUF is to map QHPs and SADPs offered through the Marketplaces in 2014 to plans that will be offered through the Marketplaces in 2015. These data either originate from the Plan Crosswalk template (i.e., template field), an Excel-based form used by issuers to describe their plans in the QHP application process, or were generated by CCIIO for use in data processing (i.e., system-generated).This data dictionary describes the variables contained in the CW-PUF. Each record relates to a mapping between a plan offered in 2014 and a plan offered in 2015 at the county or county-zip code level.

Background on the Plan ID Crosswalk Template

The FFM Plan ID Crosswalk Template crosswalks 2014 Qualified Health Plan (QHP) plan ID and service area combinations (e.g., Plan ID and County combinations) to a 2015 QHP plan ID. These data will facilitate 834 automatic re-enrollment transactions from CMS to the issuer in December 2014 for those enrollees who have not actively selected a different QHP during open enrollment at that time. The Plan ID Crosswalk template itself and the instructions to issuers can be found here: http://www.serff.com/plan_management_data_templates_2015.htm. The template instructions in particular will be helpful for those who would like a better understanding of the context and meaning of certain CW-PUF data elements.

2. Variable Attributes

Variable Name:	State
Variable Definition:	Two-character state abbreviation indicating the state where the plan is offered
Data Type:	Text

Variable Label:	State
Allowable Values:	All 50 state abbreviations + 9 territory abbreviations
Data Source:	Template field
Field Name from Data Source:	Issuer State
Comments:	N/A

Variable Name:	DentalPlan
Variable Definition:	Categorical indicator that plan is a stand-alone dental plan (SADP)
Data Type:	Text
Variable Label:	Dental Plan
Allowable Values:	Y
	N
Data Source:	Template field
Field Name from Data Source:	Dental Only Plan
Comments:	N/A

Variable Name:	PlanID_2014
Variable Definition:	Fourteen-character alpha-numeric code that identifies the 2014 insurance plan in the Health Insurance Oversight System (HIOS)
Data Type:	Text
Variable Label:	2014 Plan ID
Allowable Values:	Free text
Data Source:	Template field
Field Name from Data Source:	2014 HIOS Plan ID (Standard Component)
Comments:	N/A

Variable Name:	IssuerID_2014
Variable Definition:	Five-digit numeric code that identifies the issuer organization in HIOS for the 2014 plan
Data Type:	Text
Variable Label:	2014 Issuer ID
Allowable Values:	Free text
Data Source:	Template field
Field Name from Data Source:	HIOS Issuer ID
Comments:	N/A

Variable Name:	MultistatePlan_2014
Variable Definition:	Categorical indicator of whether the 2014 plan is a multi-state plan
Data Type:	Text
Variable Label:	2014 Multistate Plan
Allowable Values:	Y
	N
Data Source:	Template field
Field Name from Data Source:	Multi-State Plan
Comments:	N/A

Variable Name:	MetalLevel_2014
Variable Definition:	Metal level, or coverage category, of the 2014 plan based on its actuarial value
Data Type:	Text
Variable Label:	2014 Metal Level
Allowable Values:	Platinum
	Gold
	Silver
	Bronze
	Catastrophic
	High
	Low
Data Source:	System-generated field
Field Name from Data Source:	Metal Level 2014
Comments:	Values of High and Low are only applicable for dental plans; values other than High and Low are only applicable to medical plans

Variable Name:	ChildAdultOnly_2014
Variable Definition:	The types of child enrollment options for the 2014 plan
Data Type:	Text
Variable Label:	2014 Child Adult Only
Allowable Values:	0
	1
	2
Data Source:	System-generated field
Field Name from Data Source:	Child Adult Only 2014
Comments:	A value of 0 means the 2014 plan allows adult-only and child-only enrollment; a value of 1 means the 2014 plan allows only child-only enrollment; a value of 2 means the 2014 plan allows only adult-only enrollment

Variable Name:	FIPSCode
Variable Definition:	Federal Information Processing Standards (FIPS) code assigned to the county within a state that is included in the plan's service area
Data Type:	Text
Variable Label:	FIPS Code
Allowable Values:	FIPS codes for counties within issuer state
Data Source:	Template field
Field Name from Data Source:	County Name
Comments:	N/A

Variable Name:	ZipCode
Variable Definition:	Five-digit zip code within the partial-county

region included in the plan's service area

Data Type:	Text
Variable Label:	Zip Codes
Allowable Values:	Zip codes within issuer state
Data Source:	Template field
Field Name from Data Source:	Service Area Zip Code(s)
Comments:	A value of "00000" means the issuer did not split the county into zip codes when submitting the Plan Crosswalk Template (i.e., the entire county is covered by the plan)

Variable Name:	CrosswalkLevel
Variable Definition:	Categorical indicator of the crosswalk level
Data Type:	Text
Variable Label:	Crosswalk Level
Allowable Values:	0
	1
	2
	3
	4
	5
Data Source:	Template field
Field Name from Data Source:	Crosswalk Level
Comments:	A value of 0 equals Crosswalking to the same Plan ID; a value of 1 equals Crosswalking at the Plan ID level; a value of 2 equals Crosswalking at the Plan ID and county coverage level; a value of 3 equals Crosswalking at the zip-code level for one or more counties; a value of 4 equals Discontinue 2014 plan with no cross walk (no re-enrollment option in 2015); a value of 5 equals 2014 Plan withdrawn prior to certification

Variable Name:	ReasonForCrosswalk
Variable Definition:	Categorical indicator of the reason for the crosswalk
Data Type:	Text
Variable Label:	Reason for Crosswalk
Allowable Values:	0
	1
	2
	3
	4
	5
	6
Data Source:	Template field
Field Name from Data Source:	Reason for Crosswalk
Comments:	A value of 0 equals Renewing the same

product/plan combination using the same 2014 Plan ID; a value of 1 equals Renewing the same product/plan combination using a different 2015 Plan ID; a value of 2 equals Renewing product or renewal in a different plan within the product; a value of 3 equals Continuing product with no plan available in the particular service area under that product and enrollment in a different product; a value of 4 equals Continuing product with no plan available in the particular service area under that product and no enrollment option; a value of 5 equals Discontinuing product and no enrollment option; a value of 6 equals Discontinuing product and enrollment into a different product

Variable Name:	PlanID_2015
Variable Definition:	Fourteen-character alpha-numeric code that identifies the 2015 insurance plan in HIOS
Data Type:	Text
Variable Label:	2015 Plan ID
Allowable Values:	Free text
Data Source:	Template field
Field Name from Data Source:	2015 HIOS Plan ID (Standard Component)
Comments:	A value of "00000XX0000000" indicates the 2014 plan is not mapped to a 2015 plan for the given county or county-zip code because the product is discontinued and the issuer is not offering auto-enrollment

Variable Name:	IssuerID_2015
Variable Definition:	Five-digit numeric code that identifies the issuer organization in HIOS for the 2015 plan
Data Type:	Text
Variable Label:	2015 Issuer ID
Allowable Values:	Free text
Data Source:	System-generated field
Field Name from Data Source:	Issuer ID 2015
Comments:	A value of "00000" indicates the 2014 plan is not mapped to a 2015 plan for the given county or county-zip code because the product is discontinued and the issuer is not offering auto-enrollment

Variable Name:	MultistatePlan_2015
Variable Definition:	Categorical indicator of whether the 2015 plan is a multi-state plan
Data Type:	Text
Variable Label:	2015 Multistate Plan

Allowable Values:	Y
	N
	X
Data Source:	System-generated field
Field Name from Data Source:	Multistate Plan 2015
Comments:	A value of X indicates the issuer did not provide a crosswalk plan ID

Variable Name:	MetalLevel_2015
Variable Definition:	Metal level, or coverage category, of the 2015 plan based on its actuarial value
Data Type:	Text
Variable Label:	2015 Metal Level
Allowable Values:	Platinum
	Gold
	Silver
	Bronze
	Catastrophic
	High
	Low
	X
Data Source:	System-generated field
Field Name from Data Source:	Metal Level 2015
Comments:	A value of X indicates the issuer did not provide a crosswalk plan ID; values of High and Low are only applicable for dental plans; values other than High and Low are only applicable to medical plans

Variable Name:	ChildAdultOnly_2015
Variable Definition:	The types of child enrollment options for the 2015 plan
Data Type:	Text
Variable Label:	2015 Child Adult Only
Allowable Values:	0
	1
	2
	X
Data Source:	System-generated field
Field Name from Data Source:	Child Adult Only 2015
Comments:	A value of 0 means the 2015 plan allows adult-only and child-only enrollment; a value of 1 means the 2015 plan allows only child-only enrollment; a value of 2 means the 2015 plan allows only adult-only enrollment; a value of X indicates the issuer did not provide a crosswalk plan ID

Variable Name:	AgeOffPlanID_2015

Variable Definition:	Fourteen-character alpha-numeric code that identifies the plan available to enrollees who will age-off (i.e., no longer meet the criteria for continued eligibility) the 2014 plan prior to January 1, 2015
Data Type:	Text
Variable Label:	2015 Age Off Plan ID
Allowable Values:	Free text
Data Source:	Template field
Field Name from Data Source:	2015 Plan ID for Enrollees Aging Off Catastrophic or Child-Only Plan
Comments:	A value of "00000XX0000000" indicates that the issuer did not provide an age-off plan ID

Variable Name:	IssuerID_AgeOff2015
Variable Definition:	Five-digit numeric code that identifies the issuer organization in HIOS for the 2015 plan identified in the AgeOffPlanID_2015 field
Data Type:	Text
Variable Label:	Issuer ID for 2015 Age Off Plan
Allowable Values:	Free text
Data Source:	System-generated field
Field Name from Data Source:	Issuer ID Age Off 2015
Comments:	A value of "00000" indicates that the issuer did not provide an age-off plan ID

Variable Name:	MultistatePlan_AgeOff2015
Variable Definition:	Categorical indicator of whether the 2015 age off plan is a multi-state plan
Data Type:	Text
Variable Label:	2015 Age Off Multistate Plan
Allowable Values:	Y N X
Data Source:	System-generated field
Field Name from Data Source:	Multistate Plan Age Off 2015
Comments:	A value of X indicates that the issuer did not provide an age-off plan ID

Variable Name:	MetalLevel_AgeOff2015
Variable Definition:	Metal level of the 2015 age off plan based on its actuarial value
Data Type:	Text
Variable Label:	2015 Age Off Metal Level
Allowable Values:	Platinum Gold Silver Bronze Catastrophic

High
Low
X

Data Source:	System-generated field
Field Name from Data Source:	Metal Level Age Off 2015
Comments:	A value of X indicates that the issuer did not provide an age-off plan ID; values of High and Low are only applicable for dental plans; values other than High and Low are only applicable to medical plans

Variable Name:	ChildAdultOnly_AgeOff2015
Variable Definition:	The types of child enrollment options for the 2015 age off plan
Data Type:	Text
Variable Label:	2015 Age Off Child Adult Only
Allowable Values:	0 1 2 X
Data Source:	System-generated field
Field Name from Data Source:	Child Adult Only Age Off 2015
Comments:	A value of 0 means the 2015 age off plan allows adult-only and child-only enrollment; a value of 1 means the 2015 age off plan allows only child-only enrollment; a value of 2 means the 2015 age off plan allows only adult-only enrollment; a value of X indicates that the issuer did not provide an age-off plan ID